



NƠI QUẢN LÝ UBCK

Hanoi, 24<sup>th</sup> June 2026

**AMENDED LICENSE**  
**LICENSE OF ESTABLISHMENT AND OPERATION**

**CHAIRWOMAN OF THE STATE SECURITIES COMMISSION**

*Pursuant to Law on Securities No. 54/2019/QH14, amended and supplemented by Law No. 56/2024/QH15;*

*Pursuant to Decree No. 155/2020/ND-CP dated 31 December 2020 of the Government guiding the implementation of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated 11 September 2025;*

*Pursuant to Decision No. 686/QĐ-BTC dated 28 February 2025 of the Minister of Finance prescribing the functions, duties, powers and organizational structure of the State Securities Commission of Vietnam;;*

*Pursuant to the License for Securities Business Operations No. 16/UBCK-GPHĐKD dated 29 September 2006 issued by the Chairman of the State Securities Commission of Vietnam to the An Binh Securities Joint Stock Company;*

*Pursuant to the application dossier for approval of the change in charter capital of An Binh Securities Joint Stock Company;*

*At the proposal of the Head of the Securities Business Management Department,*

**DECIDES:**

**Article 1.** To amend the License for Securities Business Operations No. 16/UBCK-GPHĐKD dated 29 September 2006 issued by the Chairman of the State Securities Commission of Vietnam to the An Binh Securities Joint Stock Company with the following content:

- Charter capital: VND 3,011,500,000,000 (in words: Three trillion eleven billion five hundred million dong).

**Article 2.** This Amended License shall take effect from the date of signing and shall form an integral and inseparable part of the License for Securities Business Operations No.

16/UBCK-GPHĐKD dated 29 September 2006 granted by the Chairman of the State Securities Commission of Vietnam to An Binh Securities Joint Stock Company.

**Article 3.** This Amended License is made in two (02) original copies, of which one (01) original copy shall be issued to the An Binh Securities Joint Stock Company and one (01) original copy shall be retained by the State Securities Commission./.

**ON BEHALF OF THE CHAIRWOMAN**

**VICE CHAIRWOMAN**

*(Signed)*

**Le Thi Viet Nga**